Fair Political Practices Commission Filing Schedule for State Officeholders and Candidates Not Listed on the March 3, 2020 Ballot

Deadline	Period	Form	Notes
Jan 31, 2020** Semi-Annual	* – 12/31/19	<u>460</u>	All committees must file this statement.May be filed on January 23, 2020.
Within 10 Business Days \$5,000 Report	Ongoing – file any time other than 90-day election cycle	497	 Only e-filers file this report: File if a contribution of \$5,000 or more is received from a single source. E-file only. No paper is copy required. File within 10 business days of receipt of contribution.
Within 10 Days Independent Expenditure Verification	Ongoing	462	 Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required. Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure.
Within 24 Hours Election Cycle Reports	12/4/19 – 3/3/20	<u>496</u> <u>497</u>	 E-file only. No paper copy is required. 496: File if an independent expenditure of \$1,000 or more, in the aggregate, is made in connection with a candidate or ballot measure being voted on the March 3 ballot. 497: File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the March 3 ballot, or if a contribution is made to a political party committee.
Jan 23, 2020 1 st Pre-Election	1/1/20 – 1/18/20	<u>460</u>	 File this statement if contributions or independent expenditures of \$500 or more are made in connection with the March 3 election.
Feb 20, 2020 2 nd Pre-Election	1/19/20 – 2/15/20	<u>460</u>	 File this statement if contributions or independent expenditures of \$500 or more are made in connection with the March 3 election.
Jul 31, 2020 Semi-Annual	* - 6/30/20	<u>460</u>	All committees must file this statement.

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Additional Reports

Depending on committee activity, one or more of the following reports may also be required:

- Payments Related to a State Ballot Measure (E-Filers only): File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. No paper copy is required.
- <u>Form 511:</u> File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-Filers must also file a paper copy.
- Form E-530: File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- * Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- **Deadlines: Due to the election being held early in the year, the deadline for the first pre-election statement for calendar year 2020 is earlier than the deadline for the semi-annual statement for calendar year 2019. A candidate/committee active in both calendar years may file the 2019 statement on January 23, 2020.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Reports (Form 496). Such reports must be filed within 24 hours regardless of the day of the week.
- Where to File: State committees file reports with the Secretary of State. Only candidate controlled committees that are <u>not</u> e-filers should file paper copies with the elections official at the candidate's county of domicile.
- E-Filer: A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- Form 460: All state committees, including e-filers, must also file paper reports. Paper filings may be filed by first class mail unless otherwise noted.
- Forms 496 and 497: These reports filed online only.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- State Contribution Limits: Refer to the contribution limits chart on the FPPC website.
- Public Documents: All statements are public documents.
- Resources: Campaign manuals and other instructional materials are available here. Or, visit www.fppc.ca.gov > Learn > Campaign Rules.