

Fair Political Practices Commission
Filing Schedule for
State Candidates and their Controlled Committees
Who Will be Voted Upon at the September 14, 2021 Gubernatorial Recall Election

Deadline	Period	Form	Notes
Within 10 Business Days <i>\$5,000 Reports</i>	Ongoing – file any time other than 90-day election cycle	497	<ul style="list-style-type: none"> File if a contribution of \$5,000 or more is received from a single source. No paper copy is required. File within 10 business days of receipt of contribution. Until the California Access Replacement System (CARS) is live, only e-filers file this report. Once the system is live, all filers file this report if a contribution of \$5,000 or more is received from a single source.
Within 24 Hours <i>Election Cycle Reports</i>	7/1/21 – 9/14/21	497	<ul style="list-style-type: none"> File if a contribution of \$1,000 or more in the aggregate is received from a single source. File if a contribution of \$1,000 or more in the aggregate is made to or in connection with a candidate or ballot measure being voted on the September 14 ballot or made to a political party committee. The recipient of a non-monetary contribution of \$1,000 or more in the aggregate must file a Form 497 within 48 hours from the time the contribution is received. E-File only. No paper copy is required.
<u>If combining first pre-election and semi-annual:</u> Aug 2, 2021 <u>If filing separately:</u> Aug 2, 2021 <i>Semi-annual</i> Aug 5, 2021 <i>1st Pre-Election</i>	 * – 7/31/21 * – 6/30/21 7/1/21-7/31/21	 460 460 or 470 460	<ul style="list-style-type: none"> Each candidate listed on the ballot must file Form 460 or Form 470 (see below). The first pre-election and semi-annual statements <i>may</i> be combined. Filers using an electronic filing system may have to file two separate statements. If combining the first pre-election and semi-annual statements, the period covered is the day after the closing date of the last statement filed through July 31, 2021. If filing two separate statements, the period covered for the semi-annual statement is the day after the closing date of the last statement filed through June 30, 2021. The period covered for the first pre-election statement is July 1, 2021 through July 31, 2021.
Sep 2, 2021 <i>2nd Pre-Election</i>	8/1/21 – 8/28/21	460	<ul style="list-style-type: none"> All committees must file this statement. Paper copies must be filed by personal delivery or guaranteed overnight service only. Once the California Access Replacement System (CARS) system is live, all state committee filings will be filed online or electronically with the Secretary of State.
Jan 31, 2022 <i>Semi-Annual</i>	8/29/21 – 12/31/21	460	<ul style="list-style-type: none"> All committees must file Form 460 unless the committee files termination Forms 410 and 460 before December 31, 2021.

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Additional Reports:

Depending on committee activity, the following reports may also be required:

- **Payments Related to a State Ballot Measure:** File Form 496 (24-Hour/10-Day Independent Expenditure Report) or Form 497 (24-Hour/10-Day Contribution Report) within 10 business days of making payments totaling \$5,000 or more in connection with a single state ballot measure. Until the California Access Replacement System (CARS) is live, **only e-filers file this report**. Once the CARS system is live, all filers will file these reports online or electronically with the Secretary of State.
- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) a payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) a payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). Until California Access Replacement System (CARS) is live, **e-filers must also file a paper copy**. Once the CARS system is live, all state committees will file this report online or electronically with the Secretary of State and no paper copy will be required.
- **Form E-530:** File within 48 hours of making a payment, or a promise of a payment, of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted on in the election but does not expressly advocate the election or defeat of the candidate. No paper copy is required.

Additional Notes:

- ***Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- **California Access Replacement System (CARS):** The new Cal-Access system is expected to go live in 2021.
- **Where to File:** Until the California Access Replacement System (CARS) is live, state committees, that are e-filers, file reports with the Secretary of State. Candidate controlled committees that are not e-filers file paper copies with the elections' official at the candidate's county of domicile. Once the CARS system is live, all state committees file reports and statements online or electronically with the Secretary of State.
- **Form 501:** All state candidates must file Form 501 before soliciting or receiving any contributions or before making expenditures from personal funds. A candidate may, until the deadline for filing nomination papers set forth in Section 8020 of the Elections Code, amend Form 501 to change their statement of acceptance or rejection of voluntary expenditure limits, provided they have not exceeded the limits.
- **E-filer:** Until the California Access Replacement System (CARS) is live, only state committees that have received contributions or made expenditures totaling \$25,000 or more e-file. Once the system is live, all state committees must file online or electronically with the Secretary of State.
- **Form 460:** All state committees, including e-filers, must file paper reports. Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.
- **Form 470:** Candidates who do not raise or spend \$2,000 or more (or anticipate raising or spending \$2,000 or more) in 2021 and do not have an open committee may file form 470 on or before August 2, 2021. If, later during the calendar year, the candidate raises or spends \$2,000 or more, a campaign committee must be opened, and a Form 470 Supplement and a Form 410 must be filed.
- **Paper Filings:** Until the California Access Replacement System (CARS) is live, all paper filings may be filed by first class mail unless otherwise noted. Once the CARS system is live, all state committees will file online or electronically with the Secretary of State, no paper copies will be required.

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- **Forms 496 and 497:** All reports filed online only.
- Committees making independent expenditures totaling \$1,000 or more to support or oppose other candidates also file:
 - **Form 462:** This verification form must be e-mailed to the FPPC within 10 days. Once the California Access Replacement System (CARS) system is live, this report will be eliminated, and the information captured on other reports.
 - **Form 496:** This form is due within 24 hours if made in the 90-day, 24-hour reporting period of the candidate's election. Refer to the applicable filing schedule. Form 496 is filed with the filing officer in the jurisdiction of the affected candidate or measure.
- **Multiple Committees:** All committees controlled by a state candidate listed on the September 14 ballot must file statements on the pre-election filing deadlines, in addition to the committee formed for this election. For example, a replacement candidate for Governor listed on the September 14, 2021 ballot who maintains an Assembly officeholder committee must file pre-election statements for both committees even if the Assembly committee has not received or made payments.
- **State Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **Penalties:** Late statements and reports are subject to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late Form 460). Once the California Access Replacement System (CARS) system is live, all state committees will file online or electronically with the Secretary of State and late e-filed statements and reports will be subject to a fine of \$10 per day. Failure to file a statement or report could result in an enforcement penalty of \$5,000 per violation.
- **Public Documents:** All statements and reports are public documents.
- **Resources:** Campaign manuals and other instructional materials are available on the [Campaign Rules](#) page. Or, visit www.fppc.ca.gov > Learn > Campaign Rules.